

CORPORATE PROFILE

GOVERNANCE | RISK MANAGEMENT | ASSURANCE | OPERATIONAL EXCELLENCE

Supporting our clients to
build enduring African
organisations



1. About RiskTech & Advisory Limited
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COMPANY OVERVIEW

RiskTech & Advisory is your trusted advisor with demonstrated experience in governance, risk management, assurance and operational excellence. We support your business so you can focus on what matters to you most – managing a successful and an enduring organisation.

Vision: To be the clear leader in risk advisory and assurance solutions in Sub-Saharan Africa.

Mission: A trusted partner, supporting our clients to build enduring African organisations through our value-enhancing governance, risk management, assurance and operational excellence services.

- **We provide:** advisory, bespoke technology, and training solutions for the needs in African entities.
- **We position:** businesses to withstand different economic and operating cycles.
- **We possess:** industry expertise & global collaborations to bring you carefully designed and fit-for-purpose African-focused solutions.



OUR CORE VALUES

Inspire

Results

Impact

Collaborate

Insights

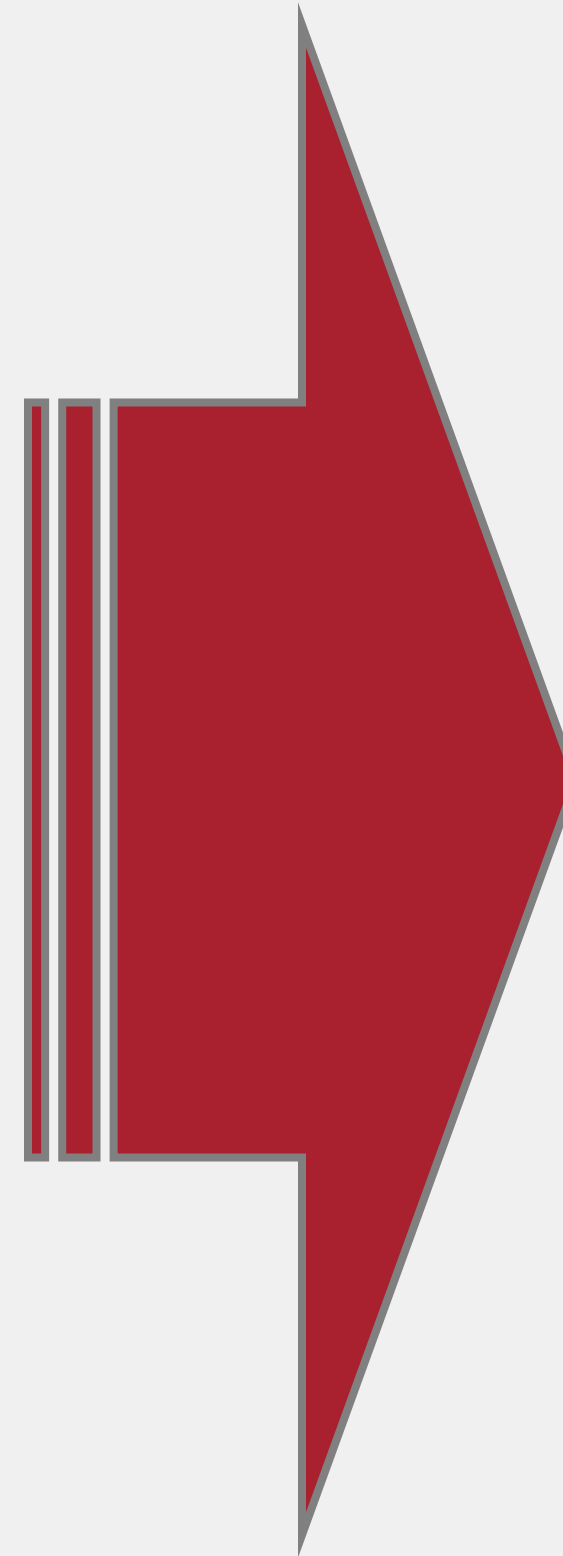
Agile





CONSULTING

We are on a mission to create enduring African organisations by supporting increased adoption of sound business and operational practices.



Corporate Governance

Enterprise Risk Management

Financial Risk Management

Information Security

Regulatory Compliance

ESG

Internal Audit

Internal Control

Operational Excellence



TECHNOLOGY SOLUTIONS

We provide technology solutions that support ease of adoption of organisation-wide sound practices.



Governance Risk & Compliance (GRC)

Operational Excellence (OPEX)

Continuous Monitoring Tools

Internal Audit Management Tools

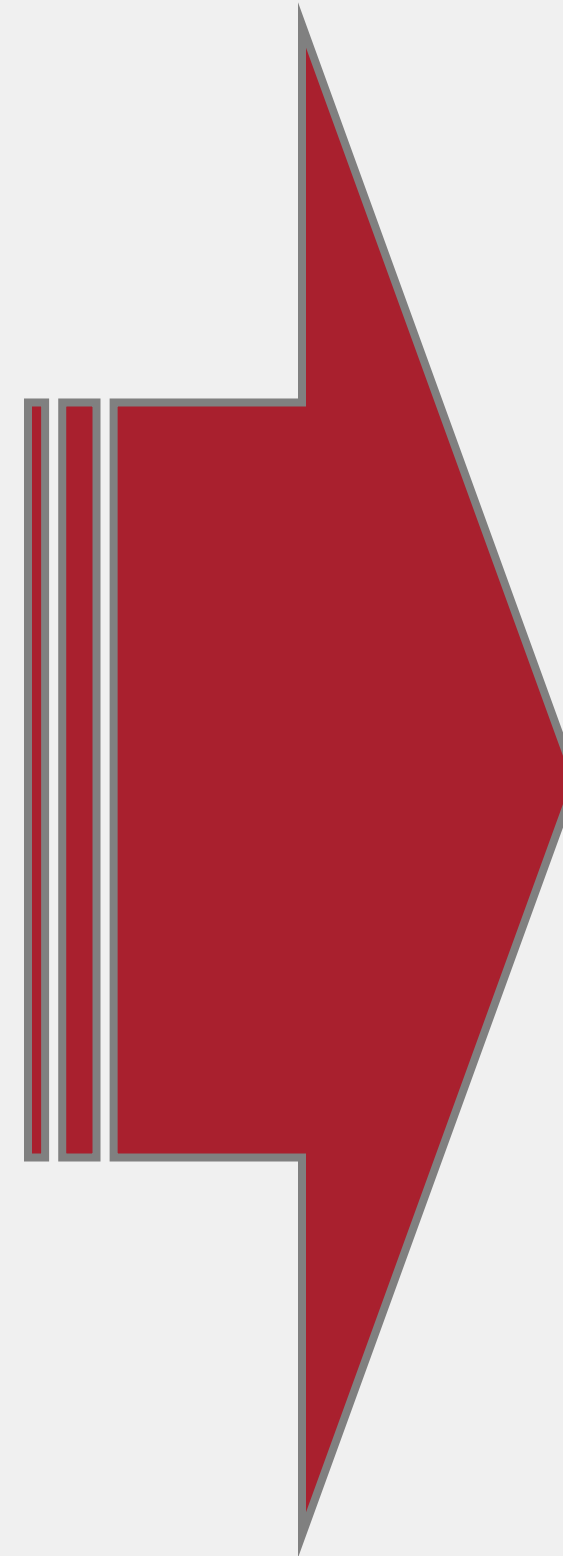
Process Automation

- Bespoke Technology Development
 - Selection & Implementation Support
 - Requirement definition
 - RFP
 - Quality Assurance
 - Project Management
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TRAINING

We provide governance, risk management and assurance training programs for your capacity development needs across all levels.



Board & Executive Training

Internal Audit Training

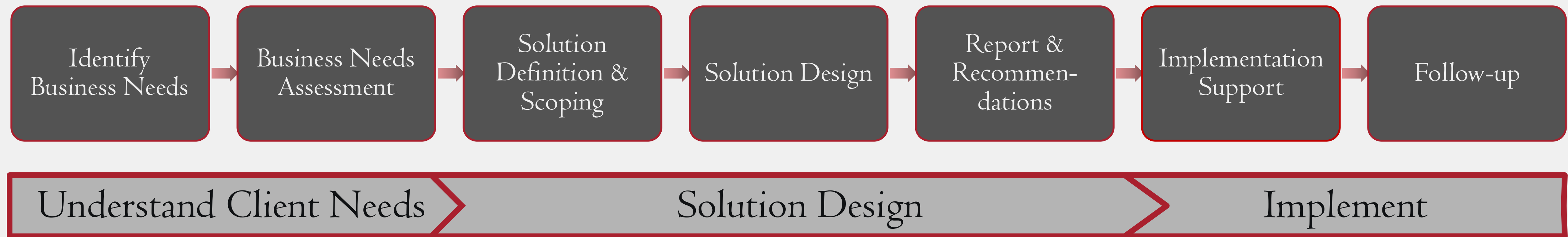
Internal Control Training

Risk Management Training

Compliance Training



We would like to discuss your needs to tailor a service offering that suits you...



Click to request a call-back to discuss your needs

Our Services



Consulting Services

Technology Solutions

Training



Our Services



Tailored to your needs...

Governance:

Corporate Governance Review

Corporate Governance Framework Definition

Framework Implementation Support

Independent Board Evaluation

Independent Corporate Governance Evaluation

Board Corporate Governance and Risk Training

C-Level Advisory Services for CROs, CAEs, CFOs, CISOs amongst others

Enterprise Risk Management:

Enterprise Risk Management Review

Enterprise Risk Management Framework Design

Framework Implementation Support

Risk Management Strategy Sessions

Risk Appetite Framework & Monitoring System

Outsourced or Co-sourced Risk Mgt. & Internal Control operations

Risk Management Process Automation support

GRC Application Implementation and Adoption Support Services

...Accelerate. Exceed. Sustain.

Our Services



Tailored to your needs...

Financial Risk Management:

Financial Risk Modelling

Internal Credit Appraisal and Rating Model

Internal Capital Adequacy Assessment Process (ICAAP)

Internal Liquidity Adequacy Assessment Process (ILAAP)

Scenario Analysis Services

Model Validation

Balance Sheet or Portfolio Risk Optimization

Regulatory Compliance:

AML & Regulatory Compliance Framework design and implementation support

Ethics & Compliance programs

Co-sourced Compliance function

Compliance Training

AML & Compliance Process Automation

Our Services



Tailored to your needs...

Assurance:

Internal Control Review & Framework Design

Co-sourced or Outsourced Internal Audit function

IT Internal Audit

Internal Audit Quality Assurance

Internal Control and Internal Audit Automation

Operational Excellence:

Business Process Re-engineering

Process Automation Support Services

ESG:

Sustainability Assessment & Strategy formulation

Sustainability Framework design and Implementation Support

Sustainability Reporting – Standalone and Integrated Reporting

Independent Sustainability Assurance Services

Information Security:

IT Risk Assessment and IT Risk Management

Information Security Framework design and implementation support

Co-sourced Information Security function

...Accelerate. Exceed. Sustain.



Regardless of your organisation's size or structure, our team of experienced professionals are well-positioned to support your journey.

Click to request a call-back
to discuss your needs



In African Markets

Do you operate in an African market and require help establishing sound governance or risk and control practices in your operations, to scale, adequately serve your markets, or build a global brand?

MSMEs

Are you looking at starting a risk and control program but you don't know where to begin, or do you require operational improvements in your key processes?

Mid-Sized Entities

Would you like to institutionalize sound practices or to put proper structures in place, to scale and to attain industry leadership?

Large Corporates

Do you require a refresh of your governance, risk management and assurance program or need to address a nagging operational challenge?



State Owned Enterprises

Seeking improved operational efficiency, control optimization, or sound governance and risk management practices?

Self-Regulatory Orgs.

We provide survey, research, thought leadership and advisory to support policy and rules formulation, as well as seamless industry adoption.

NGOs and Philanthropies

We help you put proper structures in place so you can effectively deliver impact.

Regulators

We support policy driven conversations, we drive leading governance and risk management industry practices and conversations to support nurturing of enduring enterprises and industries, as a fuel for economic growth.



Our Project Experience



- Investment Management
- Pharmaceuticals Manufacturing & Distribution
- Banking
- DFI
- Hospitality
- Members-based Club
- Real Estate Development
- Pensions Industry
- Government-owned Entity
- Multi-sectoral Conglomerate
- Oil-palm plantation & integrated Agro-allied Production
- Manufacturing
- Private Equity
- Regulatory Institutions



Client

A Leading State-owned Investment Company

- Corporate Governance Review & Gap Analysis
- Enterprise Risk Management Review & Gap Analysis
- Corporate Governance Framework Design
- Enterprise Risk Management Framework Design

Client

A Leading Indigenous Pharmaceutical Company

- Internal Audit Review & Gap Analysis
- Internal Audit Transformation
- Internal Audit Training for the Board & Audit Function
- Internal Audit Recruitment Support

Client

A Real Estate Development & Investment Company

- Corporate Governance Framework Design
- Corporate Strategy & Risk Assessment Session
- Redesigned Target Operating Model
- Business Processes Review & Documentation

Client

A Gender-Focused Membership Club & Hospitality Business

- Enterprise Risk Management Framework Design
- Risk Assessment
- Redesigned Target Operating Model
- Business Processes Review & Documentation



Client

A Leading Pension Fund Custodian

Enterprise Risk Management (ERM) Transformation

- ERM Review & Gap Analysis
- ERM Framework and Policy design
- ERM Implementation Support
- ERM Training for Staff, Executives and Board

Client

A Fully-Integrated Oil Palm Agri-Business

- Internal Audit Transformation
- External Quality Assessment Review of the Internal Audit Function
- Risk Assessment

Client

A Government-owned Asset Manager and Custodian

- Enterprise Risk Management Current State Assessment
- Market and Investment Risk Management Framework Design
- Financial Risk Models

Client

A Real Estate Development & Investment Company

- Outsourced Internal Audit Services
- Business Process Review and Improvements
- Internal Control Optimisation



Client

A Leading Nigerian Development Financial Institution (DFI)

Governance, Risk & Compliance Training for the Board of Directors

Client

A Regional Investment Holding Group

Enterprise Risk Management Training

Client

An Agro-Focused Private Equity Firm

Risk Management Training

Client

An open course for a financial regulator & a banking audience

Technology Operations and Risk Management in Financial Institutions



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